



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: <b>PCT/US98/26254</b></p> <p>(22) International Filing Date: <b>10 December 1998 (10.12.98)</b></p> <p>(30) Priority Data:  <b>08/988,142</b>      <b>10 December 1997 (10.12.97)</b>      <b>US</b></p> <p>(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application  <b>US</b>      <b>08/988,142 (CIP)</b>  Filed on      <b>10 December 1997 (10.12.97)</b></p> <p>(71) Applicant (for all designated States except US): <b>SDGI HOLDINGS, INC. [US/US]; Suite 508, 300 Delaware Avenue, Wilmington, DE 19801 (US).</b></p> <p>(72) Inventor; and  (75) Inventor/Applicant (for US only): <b>MCKAY, William, F. [US/US]; 3870 McElrie Cove, Memphis, TN 38133 (US).</b></p> <p>(74) Agents: <b>GANDY, Kenneth, A. et al.; Woodard, Emhardt, Naughton, Moriarty &amp; McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).</b></p>		<p>(81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b>  <i>With international search report.  Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: <b>OSTEOGENIC FUSION DEVICE</b></p> <div data-bbox="600 1144 1112 1470"> <p>The diagram shows a cross-section of a cylindrical device (10). It consists of two end pieces, (11) on the left and (12) on the right. Between them is a central element (13). The space around the central element is filled with a stippled material (30), which is the osteogenic material. The device is shown in a cross-section that reveals an annular pocket (24) formed by the end pieces and the central element. The central element has a smaller diameter than the end pieces, creating the pocket. The osteogenic material (30) is shown filling the space between the end pieces and the central element. The device is labeled with various numbers: 11, 12, 13, 17, 22, 23, 24, 30, 5, 10.</p> </div> <p>(57) Abstract</p> <p>An interbody osteogenic fusion device (10) is provided that includes opposite end pieces (11, 12) with an integral central element (13). The end pieces (11, 12) are sized to maintain the height of an intervertebral disc space (S). The central element (13) has a much smaller diameter (D2) so that the osteogenic fusion device (10) forms an annular pocket (24) around the central element (13). An osteogenic material (30) constitutes a collagen sheet (30) soaked in a solution containing a bone morphogenetic protein (BMP). The osteogenic fusion device (10) is configured so that the osteogenic material (30) is in direct contact with the adjacent vertebral bone (V1, V2). In addition to the enhanced area of contact between the vertebral bone (V1, V2) and the fusion material (30), the inventive osteogenic fusion device (10) reduces stress-shielding and minimizes the radiopacity of the implant so that growth of the fusion mass can be continuously assessed. In yet another embodiment, the osteogenic fusion device (10) includes at least one end piece (12) with a truncated surface (21). The osteogenic fusion devices of the present invention may be combined with other fusion devices to form an implant system. The implant system (140) includes at least one load bearing member (110) having a truncated surface (116) configured to nest within another load bearing member, preferably the load bearing, osteogenic fusion device (10) of the present invention. The invention also provides implant systems (210) comprising adjacent load bearing members (211, 212) connected to one another to resist lateral separation. Methods of promoting fusion bone growth in the space (S) between adjacent vertebrae (V1, V2) utilizing devices and systems of the invention are also described.</p>		

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## OSTEOGENIC FUSION DEVICE

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This application is a continuation-in-part of pending U.S. patent application serial no. 08/988,142 filed December 10, 1997, hereby incorporated herein by reference in its entirety.

### BACKGROUND OF THE INVENTION

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The present invention relates to an implant to be placed into the intervertebral space left after the removal of a damaged spinal disc. Specifically, the invention concerns an osteogenic fusion device that enhances arthrodesis or fusion between adjacent vertebrae while also maintaining the normal spinal anatomy at the instrumented vertebral level.

In many cases, low back pain originates from damages or defects in the spinal disc between adjacent vertebrae. The disc can be herniated or can be affected by a variety of degenerative conditions. In many cases, these pathologies affecting the spinal disc can disrupt the normal anatomical function of the disc. In some cases, this disruption is significant enough that surgical intervention is indicated.

In one such surgical treatment, the affected disc is essentially removed and the adjacent vertebrae are fused together. In this treatment, a discectomy procedure is conducted to remove the disc nucleus while retaining the annulus. Since the disc material has been removed, a body must be placed within the intervertebral space to prevent the space from collapsing.

In early spinal fusion techniques, bone material, or bone osteogenic fusion devices, were simply disposed between adjacent vertebrae, typically at the posterior aspect of the vertebrae. In the early history of these osteogenic fusion devices, the osteogenic fusion devices were formed of cortical-cancellous bone which was not strong enough to support the weight of the spinal column at the instrumented level. Consequently, the spine was stabilized by way of a plate or a

rod spanning the affected vertebrae. With this technique, once fusion occurred across and incorporating the bone osteogenic fusion device, the hardware used to maintain the stability of the spine became superfluous.

Following the successes of the early fusion techniques, focus was directed  
5 to modifying the device placed within the intervertebral space. Attention was then turned to implants, or interbody fusion devices, that could be interposed between the adjacent vertebrae, maintain the stability of the disc interspace, and still permit fusion or arthrodesis. These interbody fusion devices have taken many forms. For example, one prevalent form is a cylindrical hollow implant or "cage". The outer  
10 wall of the cage creates an interior space within the cylindrical implant that is filled with bone chips, for example, or other bone growth-inducing material. Implants of this type are represented by the patents to Bagby, No. 4,501,269; Brantigan, No. 4,878,915; Ray, No. 4,961,740; and Michelson, No. 5,015,247. In some cases, the cylindrical implants included a threaded exterior to permit threaded insertion into a  
15 tapped bore formed in the adjacent vertebrae. Alternatively, some fusion implants have been designed to be impacted into the intradiscal space.

Experience over the last several years with these interbody fusion devices has demonstrated the efficacy of these implants in yielding a solid fusion. Variations in the design of the implants have accounted for improvements in  
20 stabilizing the motion segment while fusion occurs. Nevertheless, some of the interbody fusion devices still have difficulty in achieving a complete fusion, at least without the aid of some additional stabilizing device, such as a rod or plate. Moreover, some of the devices are not structurally strong enough to support the heavy loads and bending moments applied at certain levels of the spine, namely  
25 those in the lumbar spine.

Even with devices that do not have these difficulties, other less desirable characteristics exist. Recent studies have suggested that the interbody fusion implant devices, or cages as they are frequently called, lead to stress-shielding of the bone within the cage. It is well known that bone growth is enhanced by  
30 stressing or loading the bone material. The stress-shielding phenomenon relieves some or all of the load applied to the material to be fused, which can greatly increase the time for complete bone growth, or disturb the quality and density of

the ultimately formed fusion mass. In some instances, stress-shielding can cause the bone chips or fusion mass contained within the fusion cage to resorb or evolve into fibrous tissue rather than into a bony fusion mass.

5 A further difficulty encountered with many fusion implants is that the material of the implant is not radiolucent. Most fusion cages are formed of metal, such as stainless steel, titanium or porous tantalum. The metal of the cage shows up prominently in any radiograph (x-ray) or CT scan. Since most fusion devices completely surround and contain the bone graft material housed within the cage, the developing fusion mass within the metal cage between the adjacent vertebrae  
10 cannot be seen under traditional radiographic visualizing techniques and only with the presence of image scatter with CT scans. Thus, the spinal surgeon does not have a means to determine the progress of the fusion, and in some cases cannot ascertain whether the fusion was complete and successful.

The field of spinal fusion can be benefited by an intervertebral fusion  
15 device that can support bone growth material within the intervertebral space, while still maintaining the normal height of the disc space. The device would beneficially eliminate the risk of stress-shielding the fusion mass, and would also provide for visualization of the fusion mass as the arthrodesis progresses.

## SUMMARY OF INVENTION

To address the current needs with respect to interbody fusion devices, the present invention contemplates a osteogenic fusion device that is configured to place as much of the bone growth-inducing material as possible into direct contact with the adjacent bone. In one embodiment, the osteogenic fusion device includes an elongated body having opposite first and second end pieces separated by an integral central element. The central element has a significantly smaller diameter than the two end pieces. The osteogenic fusion device thus forms an annular pocket between the end pieces and around the central element.

In accordance with one aspect of the present invention, a bone growth-inducing material is disposed within the annular pocket around the central element of the osteogenic fusion device. In one specific embodiment, the bone growth-inducing material can constitute a sheet of a pharmaceutically suitable carrier for a bone growth factor, such as a bone morphogenetic protein. In this embodiment, the sheet can be a collagen sheet that is soaked with the BMP and then subsequently wrapped in spiral fashion around the central element of the osteogenic fusion device.

In one feature of the present invention, the osteogenic fusion device can be implanted in a bi-lateral approach. Specifically, two such osteogenic fusion devices can be inserted into prepared bores formed in the endplates of adjacent vertebrae after completion of a discectomy. The spinal loads are borne by the two end pieces that are in direct contact with the adjacent vertebral bodies. Preferably, the osteogenic fusion device has a length sufficient to allow the end pieces to at least partially contact the harder bone at the apophysis of the adjacent vertebrae. With the osteogenic fusion device thus inserted, the bone growth-inducing material is in direct contact with the adjacent vertebral bodies. In addition, bone growth-inducing material can be placed within the bi-lateral space separating the two osteogenic fusion devices. When fusion occurs, a substantial fusion mass is produced that is virtually uninterrupted by the material of the osteogenic fusion device itself.

Several alternative embodiments of the osteogenic fusion device are presented, all retaining the capability of supporting bone growth-inducing material so that it is in

direct contact with the adjacent vertebrae. In some embodiments, additional elements of the central element are provided, while in another embodiment, an intermediate piece is provided for further support across the disc space. In one embodiment, osteogenic fusion devices are provided wherein at least one of the opposite end pieces  
5 includes a truncated surface. In yet another embodiment, the truncated surface advantageously includes opposite faces, such as opposite edges, that define an entrance to a cutout region. The cutout region is typically defined by the truncated surface and the truncated surface is preferably concave. Such implants are advantageously configured to nest within another fusion device, such as the fusion device of the  
10 present invention.

Another embodiment of the present invention provides an implant system including at least two load bearing members as described above adapted to be bilaterally placed between adjacent vertebrae, wherein at least one of the load bearing members has a truncated surface configured to nest within the other load bearing  
15 member.

Yet another embodiment of the invention provides an implant system for promoting fusion bone growth in the space between adjacent vertebrae which includes at least first and second load bearing members adapted to be bilaterally placed between adjacent vertebrae, wherein the load bearing members are connected to one another so  
20 as to resist lateral separation. In particular, a preferred embodiment provides a first of the load bearing members including a male member, and a second of the load bearing members including a female member. The male and female members cooperate to resist lateral separation of said devices. In another preferred embodiment, the load bearing members can be connected by a connecting member such as a plate spanning  
25 the two load bearing members.

In other embodiments of the invention, methods of promoting fusion bone growth in the space between adjacent vertebrae are provided. The methods include providing load bearing members or implant systems as described above, preparing adjacent vertebrae to receive the load bearing members or implant systems in an intervertebral  
30 space between adjacent vertebrae and placing the load bearing members or implant systems into the intervertebral space after the preparing step.

The present invention also contemplates an insertion tool and certain modifications to the osteogenic fusion device to accommodate the tool. In one preferred embodiment, the tool is essentially an elongated shank having opposite prongs extending therefrom. The prongs can engage truncated side walls of one of the  
5 end pieces. In addition, the opposite end piece can be formed with notches to receive the tips of the two prongs. With this design, the osteogenic fusion device can be a push-in or a threaded type osteogenic fusion device.

It is one object of the present invention to provide an interbody fusion device that allows the greatest possible contact between the adjacent vertebrae and the bone  
10 growth-inducing material supported by the osteogenic fusion device. It is a further object to provide such a osteogenic fusion device that is capable of supporting the loads generated throughout the spine without stress-shielding developing bone within the osteogenic fusion device.

Another object of the invention is achieved by features that minimize the  
15 radiopacity of the device. This results in a benefit to the surgeon of being able to more readily assess the progress of a spinal fusion.

Yet another object of the invention is to provide an interbody fusion device whereby enough lateral exposure is present to place two large devices side-by-side to distract the disc space and facilitate fusion.

20 It is yet another object of the invention to provide an interbody fusion device which can be placed closer to another interbody fusion device and which will require no or minimal resection of facet joints.

Yet a further object of the invention is to provide an implant system which is placed in the intervertebral space with minimal retraction of the spinal cord to lessen  
25 the chance of neurological complications or damage.

Other objects and benefits of the present invention can be discerned from the following written description and accompanying figures.



## DESCRIPTION OF THE FIGURES

FIG. 1 is a top elevational view of a osteogenic fusion device in accordance with  
5 one embodiment of the present invention.

FIG. 2 is an end elevational view of one end of the osteogenic fusion device  
shown in FIG. 1.

FIG. 3 is a top elevational view of an alternative embodiment of the osteogenic  
fusion device utilizing exterior threads.

10 FIG. 4 is a top cross-sectional view of a osteogenic fusion device as shown in  
FIG. 1 with a bone growth-inducing material supported by the osteogenic fusion  
device.

FIG. 5 is an cross-sectional view of the osteogenic fusion device and bone growth  
material shown in FIG. 4 taken along line 5-5 as viewed in the direction of the arrows.

15 FIG. 6 is a plan view of a sheet for a bone growth-inducing material used with the  
osteogenic fusion device shown in FIG. 4.

FIG. 7 is an end elevational view of one end of a osteogenic fusion device, such  
as the osteogenic fusion device of FIG. 1, modified to include apertures.

20 FIG. 8 is an end elevational view of one end of a osteogenic fusion device, such  
as the osteogenic fusion device of FIG. 1, modified to include apertures.

FIG. 9 is a side, partially cross-sectional view of an intervertebral disc space with  
a osteogenic fusion device according to FIG. 1 implanted between adjacent vertebrae.

FIG. 10 is a top elevational view of the superior aspect of the instrumented  
vertebral level shown in FIG. 9, depicting bilateral placement of osteogenic fusion  
25 devices according to the present invention.

FIG. 11 is a cross-sectional view of the instrumented vertebral segment shown in  
FIG. 10, taken along line 11-11 as viewed in the direction of the arrows.

FIG. 12 is a top elevational view of a osteogenic fusion device, such as shown in  
FIG. 1, with features to permit insertion of the osteogenic fusion device.

30 FIG. 13 is an end elevational view of the osteogenic fusion device shown in FIG.  
12.

FIG. 14 is a side elevational view of an insertion tool according to one embodiment of the present invention.

FIG. 15 is a top elevational view of the insertion tool shown in FIG. 14.

FIG. 16 is a top elevational view of a osteogenic fusion device for restoring the lordotic angle between adjacent vertebrae according to a further embodiment of the present invention.

FIG. 17 is a top elevational view of a osteogenic fusion device according to a further embodiment of the present invention.

FIG. 18 is a top elevational view of a osteogenic fusion device according to a still further embodiment of the present invention.

FIG. 19 is an end elevational view of the osteogenic fusion device shown in FIG. 18.

FIG. 20 is a top elevational view of a osteogenic fusion device according to another embodiment of the present invention.

FIG. 21 is an end elevational view of the osteogenic fusion device shown in FIG. 20

FIG. 22 is a top elevational view of a osteogenic fusion device according to yet another embodiment of the present invention.

FIG. 23 is an end elevational view of the osteogenic fusion device shown in FIG. 22.

FIG. 24 is a top elevational view of a osteogenic fusion device according to a further embodiment of the present invention.

FIG. 25 is an end elevational view of the osteogenic fusion device shown in FIG. 25.

FIG. 26 is a top elevational view of a pair of fusion devices according to FIGS. 24-25 disposed in a bilateral configuration in the lumbar spine.

FIG. 27 is a top elevational view of a fusion device according to FIGS. 24-25 disposed in the cervical spine.

FIG. 28 is an end elevational view of osteogenic fusion devices of the present invention within a surgical window showing how such fusion devices of particular sizes may not fit entirely within the surgical window.

FIG. 29 is an end elevational view similar to that of FIG. 28 and depicting one embodiment of the implant system of the present invention.

FIG. 30 is a side elevational view of a osteogenic fusion device in accordance with an alternative embodiment of the present invention.

5        FIG. 31 is an end elevational view of one end of the osteogenic fusion device shown in FIG. 30.

FIG. 32 is an end elevational view of the other end of the osteogenic fusion device depicted in FIG. 31.

10       FIG. 33 is a perspective view of an alternative embodiment of the osteogenic fusion device of the present invention.

FIG. 34 is a top elevational view of an alternative embodiment of the implant system of the present invention.

FIG. 35 is an end elevational view of one end of the implant system depicted in FIG. 34.

15       FIG. 36 is an end elevational view of the other end of the implant system depicted in FIG. 35.

FIG. 37 is an end elevational view of an alternative embodiment of the implant system of the present invention.

20       FIG. 38 is a perspective view of an alternative embodiment of the implant system of the present invention.

FIG. 39 is a perspective view of yet a further alternative embodiment of the implant system of the present invention.

FIG. 40 is an end elevational view of mated osteogenic fusion devices of the invention.

25       FIG. 41 is a perspective view of one of the osteogenic fusion devices depicted in FIG. 40.

FIG. 42 is a perspective view of another of the osteogenic fusion devices depicted in FIG. 40.

30       FIG. 43 is a perspective view of an osteogenic fusion device of the invention including a stop member.

FIG. 44 is an end elevational view of mated osteogenic fusion devices connected by a connecting plate in accordance with the invention.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated in the drawings and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications in the illustrated device, and such further applications of the principles of the invention as illustrated therein being contemplated as would normally occur to one skilled in the art to which the invention relates.

10       The present invention contemplates osteogenic fusion devices for use as interbody fusion devices. The osteogenic fusion devices include opposite end pieces that are configured to span the intervertebral disc space and engage the adjacent vertebral bodies. The inventive osteogenic fusion devices include a central element separating the two end pieces and substantially spanning the anterior-posterior length of the disc space. The invention further contemplates that a bone growth-inducing material be  
15       disposed about the central element and between the opposite end pieces. When the inventive osteogenic fusion device is implanted within a patient, the bone growth-inducing material is in direct contact with the adjacent vertebral bodies. The end pieces are formed of a material sufficient to withstand the spinal loads generated at the  
20       instrumented vertebral level.

      In accordance with one embodiment of the invention, a osteogenic fusion device 10, depicted in FIGS. 1-2, includes a first end piece 11 and a second end piece 12. The end pieces are separated by a central element 13. The first end piece 11 could be substantially cylindrical or any geometrical shape and includes an outer bone  
25       contacting surface 15. The end piece 11 also defines an inwardly facing retaining surface 17. The central element 13 integrally extends from the retaining surface 17 of the first end piece 11.

      The second end piece 12 also defines a bone contacting surface 20 that, in this embodiment, does not extend entirely around the end piece. The bone contacting  
30       surface 20 could be any geometrical shape, preferably circular and is defined at a radius equal to the radius of the outer surface 15 of the first end piece. Thus, as depicted in FIG. 2, the bone contacting surface 20 of the second end piece 12 is

generally coincident with portions of the outer surface 15 of the first end piece 11 when the osteogenic fusion device is viewed along the longitudinal axis of its central element 13. The second end piece 12 also includes opposite truncated surfaces 21 that are disposed between the circular bone contacting surfaces 20. Preferably, the truncated surfaces 21 are generally flat and can be configured to be engaged by an insertion tool. The insertion tool preferably has arms that contact the flat truncated surfaces 21, yet still fall within the envelope defined by the outer surface 15 of the first end piece 11.

The second end piece 12 also defines a second retaining surface 22 that faces the first retaining surface 17 of the first end piece 11. Again, the central element 13 is preferably integral with and projects outwardly from the second retaining surface 22. Alternatively, the central element can be in the form of a central rod that is engaged within colinear bores formed in the two end pieces. In this variation, the engagement between the central rod and the end pieces can be threaded.

The central element 13 includes an outer central surface 23. Preferably, the central element 13 is substantially cylindrical along its length. In one aspect of the invention, the first end piece 11 defines a diameter  $D_1$ , while the central element 13 defines a diameter  $D_2$ . The diameter  $D_1$  is at least equal to the height of the intervertebral space within which the osteogenic fusion device 10 is to be interposed. Most preferably, the diameter  $D_1$  corresponds to the diameter of a cylindrical channel cut into the endplates of the adjacent vertebrae. In this instance, the diameter  $D_1$  will be somewhat larger than the intervertebral disc space height. Moreover, the diameter  $D_1$  is significantly larger than the diameter  $D_2$  of the central element 13. This diameter differential creates an annular pocket 24 surrounding the central element 13.

The osteogenic fusion device 10 has a length  $L_1$  between the opposite ends of the osteogenic fusion device. This length  $L_1$  is preferably selected to be slightly less than the anterior-posterior length of the intervertebral disc space, although the length can be calibrated to the lateral dimension of the space. Most preferably, the length  $L_1$  is sized so that the first and second end pieces 11, 12 can contact at least a portion of the apophysis or harder cortical bone at the perimeter of the vertebral endplates. The osteogenic fusion device 10 further defines a length  $L_2$  which is essentially the length of the central element 13. The length  $L_2$  is calibrated so that the end pieces 11 and 12

are sufficiently wide to provide adequate support between the adjacent vertebrae. Conversely, the length  $L_2$  is sufficiently long so that the annular pocket 24 has the capacity for retaining a substantial quantity of bone growth-inducing material.

In a modification of the osteogenic fusion device 10, the second end piece can be configured with threads. For example, as depicted in FIG. 3 an end piece 25 includes external bone engaging threads 26 extending from the outer surface 27. In accordance with this embodiment, the second end piece 25 can be cylindrical, like the first end piece 11, or the threads can be formed between truncated surfaces, such as truncated surfaces 21 in the prior embodiment. At any rate, the threaded end piece 25 is configured to be threadedly advanced into a drilled and tapped channel within the adjacent vertebral bodies. The first end piece 11 can also be threaded to facilitate insertion and to reduce the chance of expulsion.

In a further aspect of the invention, a bone growth-inducing material 30 is provided for support by the osteogenic fusion device 10. Preferably the material 30 is in the form of a sheet. In a specific example, the carrier sheet 30 can be a collagen sheet that is soaked with a solution containing a bone growth-inducing substance, or a bone morphogenetic protein (BMP). In accordance with the invention, the carrier sheet 30 can be formed of a variety of materials other than collagen, provided the materials are capable of containing a therapeutically effective quantity of a bone growth-inducing substance or BMP. Moreover, the material 30, whether in sheet form or not, is most preferably susceptible to manipulation to be disposed within the annular pocket 24 of the fusion device 10.

In accordance with the specific embodiment, the carrier sheet 30 is wound around the outer surface 23 of the central element 13 (see FIG 5). The carrier sheet 30 is held between the retaining surface 17 of the first end piece 11 and the retaining surface 22 of the second end piece 12. In accordance with one specific embodiment, the retaining surface 22 is curved or convex. In this way, the carrier sheet 30 can project into the convexity to serve as a sort of anchor to hold the carrier sheet 30 within the annular pocket 24 of the osteogenic fusion device 10. In addition, the convex surface 22 conforms better with the anterior portion of the vertebral body profile when the fusion device is implanted.

In the illustrated embodiment, the carrier sheet 30 can be provided as a single sheet, as shown in FIG. 6. The inner end 31 of the sheet is disposed against the central outer surface 23 of the central element 13. The sheet can be wound in a spiral fashion about the central element 13 until its outer end 32 is disposed adjacent the outer surface 15 of the first end piece 11. The carrier sheet 30 has width W that is preferably slightly larger than the length  $L_2$  between the first and second end pieces to allow a portion of the carrier sheet 30 to project into the concave retaining surface 22 of the second end piece 12. The overall length of the sheet 30 between ends 31 and 32 depends upon its thickness and the difference in diameters  $D_1$  and  $D_2$ . For example, in one embodiment the diameter  $D_2$  is about one-fourth ( $1/4$ ) the diameter  $D_1$ . Preferably, the length is sufficient so that the carrier sheet 30 can be tightly wound about the central element 13 and fill the annular pocket 24. One important object of the present invention is that the carrier sheet 30 or bone growth-inducing material reside in direct contact with the adjacent vertebral bone. Consequently, the sheet 30 is preferably wound so that its outer end 32 is at least slightly outside the envelope of the outer surface 15 of the first end piece 11.

The carrier sheet 30 of FIGS. 4-6 illustrates one specific embodiment of bone growth-inducing material usable with the osteogenic fusion device of the present invention. It is also contemplated that the carrier can be in the form of a sponge, paste, gel or a settable osteogenic material. The osteogenic material must be provided in some form that can be generally retained about the central element 13 and within the annular pocket 24 of the osteogenic fusion device 10. Put differently, the present invention contemplates an osteogenic material that does not need to be contained in the traditional manner of the hollow cylindrical cages of the prior art. In these prior art devices, cancellous bone chips have been contained within a hollow cage. The present invention does not contemplate the use of bone chips alone. However, bone chips contained within a bone paste or a gel may be utilized with the osteogenic fusion device 10, provided that the paste or gel have a consistency sufficient to hold the bone growth-inducing material on and within the osteogenic fusion device 10.

In accordance with one specific embodiment, the end pieces 11 and 12 are solid and circular in configuration. Alternative end piece configurations are shown in FIGS. 7 and 8. For example, end piece 11' can have a plurality of generally circular apertures

34 disposed circumferentially about the end piece, as shown in FIG. 7. The end piece 11" shown in FIG. 8 includes a plurality of pie-shaped apertures 35 so that the end piece gives the appearance of a spoked wheel. The second end piece 12 of the osteogenic fusion device 10 can have similar apertures defined therethrough. The apertures 34 and 35 in the end pieces 11', 11" provide a further avenue for facilitating fusion bone growth. The apertures themselves can be filled with an osteogenic material, such as a gel or a paste. Moreover, once the osteogenic fusion device 10 is implanted within an intervertebral disc space, osteogenic material can be packed around the osteogenic fusion device within the disc space. These additional apertures in the end pieces 11, 12 provide further avenues for the formation of a bony bridge between adjacent vertebrae.

The end pieces 11, 12, etc. can also have non-circular shapes. For instance, the end pieces can be rectangular or other multi-sided shapes. If the osteogenic fusion device resides within a channel prepared in the endplates, the channel shape can be modified to conform to the bone engaging surfaces 15, 20 of the end pieces.

FIGS. 9-11 depict a pair of osteogenic fusion devices 10 implanted in a bi-lateral configuration between adjacent vertebral bodies  $V_1$  and  $V_2$ . As depicted, the disc annulus A is retained but at least one portal must be defined in the annulus A to permit insertion of the osteogenic fusion devices 10. The present invention also contemplates insertion of each osteogenic fusion device 10 through its own portal formed in the disc annulus A. Alternatively, in conformance with other known procedures, a single portal can be provided through which each osteogenic fusion device 10 is successively inserted. Further in accordance with the present invention, the osteogenic fusion devices 10 can be positioned within the intervertebral disc space according to known posterior or postero-lateral techniques.

According to the present invention, the osteogenic fusion device 10 is inserted into the disc space S with the first end piece 11 proceeding first into the space. Preferably, a channel C is bored into the vertebral endplates E to a preferred depth of insertion of the osteogenic fusion device 10, in accordance with known techniques. If the osteogenic fusion device to be implanted is of the type shown in FIG. 3 with the threaded second end piece 25, the channels C can be appropriately drilled and tapped



to accommodate the bone engaging threads 26. In a modification of this embodiment, the first end piece 11 can also carry external threads.

The preferred embodiment contemplates a generally cylindrical osteogenic fusion device placed within circular channels. Alternatively, the osteogenic fusion devices  
5 can operate as spacers that directly contact the endplates, without a prepared channel. In this instance, the bone engaging surfaces of the end pieces can be modified to conform to the vertebral endplate geometry.

As depicted in FIGS. 9-11, the osteogenic material 30 is disposed in direct contact with the adjacent vertebral endplates E. Moreover, the placement of osteogenic fusion  
10 devices 10 can present a medial space 37 between the two osteogenic fusion devices. Osteogenic material can then be placed within the medial space 37, again in direct contact with the osteogenic material 30 situated around the central elements 13 of each of the osteogenic fusion devices 10. Once complete fusion occurs, new bone growth will substitute the carrier material 30 to form a solid bony bridge spanning the adjacent  
15 vertebrae  $V_1$ ,  $V_2$ . As can be seen from FIGS. 9-11, the region of continuous bone growth is very substantial and is not interrupted by the structure of the fusion device itself.

It is understood, of course, that the end pieces 11 and 12 provide sufficient support for the vertebral loads passing between the adjacent vertebrae. At the same  
20 time, this load bearing capacity is concentrated outside the middle regions of the vertebral endplates E. It is known that the central region of the endplates is very rich in blood flow and has a high capacity for new bone growth. Thus, the elimination of structural material of the osteogenic fusion device 10 from that region provides for a faster and more complete arthrodesis than may have been possible with prior fusion  
25 cages.

Referring next to FIGS. 14, 15, an insertion tool 50 is depicted for inserting a osteogenic fusion device 10 according to the present invention. The insertion tool 50 includes a solid shank 51 to which a knob or handle 52 is affixed. The knob 52 is configured for manual grasping and manipulation during insertion of the osteogenic  
30 fusion device. In the case where the osteogenic fusion device is not threaded, the insertion tool 50 simply acts as a pushing device. On the other hand, in the instance where the osteogenic fusion device includes threaded end pieces such as shown in FIG.

3, the insertion tool 50 must be rotated as the end piece is threaded into the prepared channel between the adjacent endplates.

The insertion tool 50 includes a pair of prongs 53 that are disposed apart to define an end piece recess 54. For insertion of the osteogenic fusion device 10 shown in FIG. 1, the end piece recess 54 is configured so that the prongs 53 are in tight contact with the truncated surfaces 21 of the second end piece 12. The outer surface of the prongs 53 can conform to a portion of the outer surface 15 of the first end piece 11.

The insertion tool 50 depicted in FIGS. 14-15 also includes tapered tips 55 at the ends of each of the prongs 53. These tapered tips are configured to be received within driving notches 41 in a modified first end piece 40, as depicted in FIGS. 12-13. The osteogenic fusion device depicted in FIGS. 12-13 is substantially similar to the osteogenic fusion device 10 shown in FIG. 1, with the exception of the added driving notches. The insertion tool 50 is configured so that the tips 55 project into the notches 41 while the prongs 53 directly contact the truncated surfaces 21 of the second end piece 12. This particular configuration of the insertion tool is particularly useful for threaded insertion of the osteogenic fusion device. Preferably, the prongs 53 have an effective outer diameter that is approximately equal to the diameter  $D_1$ . Moreover, the prongs 53 can have an arc segment configuration to complement the truncated surfaces 21. If the end piece 12 is threaded (see FIG. 3), the prongs 53 can include complementary threads along their length.

The present invention also contemplates a osteogenic fusion device for restoring the normal lordotic angle of an intervertebral segment. Specifically, a lordotic osteogenic fusion device 60 includes a first end piece 61 and a second end piece 62 as shown in FIG. 16. As with the prior embodiments, a central element 63 is provided to connect the two end pieces. The outer surface 65 of the first end piece 61 is in the form of a frusto-conical surface. The outer surface 65 tapers toward the second end piece 62 at a preferred lordotic angle. Similarly, the outer surface 66 of the second end piece 62 is also tapered at a similar lordotic angle. Alternatively, the second end piece 62 can include threads formed on the outer surface 66. While the threads 66 at the smaller second end piece 62 may not contact the vertebral endplates at the larger insertion end, the threads will contact the endplates at the anterior end of the intradiscal

space and will act as an anchor to resist expulsion of the lordotic osteogenic fusion device 60.

The present invention contemplates several modifications to the basic osteogenic fusion device 10. For example, the osteogenic fusion device 70 shown in FIG. 17 includes first and second end pieces 71, 72 and a center piece 73 disposed between the two end pieces. First and second central elements 74 and 75 connect each of the end pieces 71, 72 to the center piece 73. In this instance, the center piece 73 will contact the interior of the disc endplates E. Osteogenic material, such as carrier sheets 30, can be disposed or wound around each of the central elements 74, 75 until the end of the bone growth-inducing material is exposed at the outer surface of the osteogenic fusion device 70.

In a further modification, an osteogenic fusion device 80 depicted in FIG. 18 includes first and second end pieces 81 and 82 that are connected by a plurality of central beams 83. In the illustrated embodiment as shown in FIG. 19, four such beams 83 are provided; however, other arrangements and numbers of beams are contemplated. Important aspects of the present invention are retained by the osteogenic fusion device 80 because osteogenic material can be supported by the several beams 83 between the first and second end pieces 81, 82, with the bone growth-inducing material in direct contact with the adjacent vertebral bodies.

The two embodiments of FIGS. 20-21 and FIGS. 22-23 pose a slight deviation from the general concept of the osteogenic fusion device 10. In these two embodiments, the smaller diameter central element 13 is replaced by a wall. In the embodiment of FIGS. 20-21, an osteogenic fusion device 85 includes first and second ends 86, 87 separated by a central element 88. The first and second ends 86 and 87 can be in the form of short cylindrical sections, such as the first end piece 11 of the osteogenic fusion device 10 in FIG. 1. While the central element 88 can be in the form of a solid wall, the osteogenic fusion device 85 preferably includes a number of slots 89 defined through the central element 88. In accordance with the specific embodiment, the slots extend along substantially the entire length of the central element 88. While the osteogenic fusion device 85 deviates somewhat from the concept of the osteogenic fusion device 10, this latter osteogenic fusion device 85 retains the broad beneficial feature of the present invention, namely provision for

direct contact between osteogenic material supported by the osteogenic fusion device 85 and the vertebral endplates. In the present instance, the osteogenic material can be situated on opposite sides of the central element 88. In addition, the material can be passed through the slots 89.

- 5        Preferably, the osteogenic fusion device 85 will be oriented within the intervertebral disc space with the central element 88, or wall, spanning between the adjacent vertebrae. This central element 88, then, will provide additional structure and load bearing capability for sustaining the spinal loads at the instrumented level.

10        The osteogenic fusion device 90 of FIGS. 22-23 operates on a similar concept to the osteogenic fusion device 85. However, in this instance, the first and second end pieces are in the form of arc segments, rather than shortened cylinders. Specifically, the osteogenic fusion device 90 includes upper and lower first arc segments 91<sub>U</sub> and 91<sub>L</sub>, and upper and lower second arc segments 92<sub>U</sub> and 92<sub>L</sub>. The osteogenic fusion device 90 also includes a central element 93 that is again in the form of a wall  
15        connecting the first and second end pieces. As can be seen most clearly in FIG. 23, the arc segments 91, 92 and central element 93 define a pair of cavities 96 for containing osteogenic material. In this embodiment, the osteogenic material can be contained completely from end to end of the osteogenic fusion device 90. In the prior embodiments, the osteogenic material is contained within retaining surfaces of the  
20        opposite end pieces. In accordance with a specific embodiment, the osteogenic fusion device 90 includes a plurality of apertures 94 defined in each of the upper and lower first and second arc segments 91<sub>U</sub>, 91<sub>L</sub>, 92<sub>U</sub> and 92<sub>L</sub>. Similarly, a plurality of apertures 95 can be defined through the central element 93. In this manner, the apertures provide the maximum capacity for bone ingrowth not only around, but also through the  
25        osteogenic fusion device 90.

30        A osteogenic fusion device 100 shown in FIGS. 24-25 again presents a slightly different concept. This osteogenic fusion device 100 includes a first end plate 101, a second end plate 102 and a central element 103 that are similar to the like-named components of the osteogenic fusion device 10. However, the osteogenic fusion device 100 also includes a side piece 104 spanning between the first and second end pieces 101, 102. Moreover, unlike the osteogenic fusion device 10, the first and second end pieces 101, 102 are not generally circular in configuration, but are

generally rectangular in configuration. In one specific embodiment, the end pieces 101, 102 can include cutouts 105 at opposite sides of the end pieces to provide further avenues for the formation of a bony bridge between adjacent vertebrae. As with the prior embodiments, the osteogenic fusion device 100 provides means for adequately  
5 containing osteogenic material, such as in the form of the carrier sheet 30. In this embodiment, the carrier sheet 30 can be wound around the central element 103, in the manner described above. This particular embodiment of the invention, namely osteogenic fusion device 100, is preferably adapted for use in the lumbar spine as illustrated in FIG. 26 and in the cervical spine illustrated in FIG. 27, and is  
10 consequently sized accordingly.

In many situations, it is preferable to use two fusion devices in a posterior lumbar interbody fusion technique (PLIF) but there is not enough lateral exposure to place two devices side-by-side. This problem can be visualized, for example, by reference to FIG. 28. Two osteogenic fusion devices, such as osteogenic fusion device 10, may be  
15 placed side-by-side within a surgical window depicted by the dotted line. As seen in FIG. 28, the two devices do not fit within the surgical window presented. In many such cases, the facet joints must be removed to make the surgical window larger, which may lead to spinal instability.

In order to address this problem, at least one end piece of an osteogenic fusion  
20 device may have a truncated surface, such as a circular cutout, as depicted in FIG. 29. As seen in FIG. 29, two fusion devices placed together thereby nest or interleave and reside within the operative window, and thus require no or minimal resection of the facet joints.

As more fully shown in FIGS. 30-32, osteogenic fusion device 110 is in many  
25 respects similar to osteogenic fusion device 10 depicted in FIGS. 1 and 2 and includes, for example, opposite end pieces including first end piece 111 and second end piece 112 and central element 113. Each end piece defines two opposing surfaces as similarly described for osteogenic fusion device 10. For example, first end piece 111 defines a bone contacting surface 114 and second end piece 112 defines a bone  
30 contacting surface 115. Bone contacting surface 115, in this embodiment, does not extend entirely around end piece 112. Moreover, the bone contacting surface of second end piece 112 is generally coincident with portions of the outer surface 114 of

first end piece 111 when the device is viewed along the longitudinal axis of its central element 13. Second end piece 112 also includes two opposite truncated surfaces 117 that are disposed between bone contacting surfaces 115. Additionally, first end piece 111 includes external face 118 and internal face 119 whereas second end piece 112 includes external face 120 and internal face 121. Osteogenic fusion device 110 is configured to nest with another osteogenic fusion device, including other devices of the present invention. In the embodiment depicted in FIGS. 30-32, the configuration of the osteogenic fusion device 110 includes a first end piece 111 having opposite faces, including opposite edges 123, that define an entrance 124 to a cutout region 122. Cutout region 122 is defined by truncated surface 116. Truncated surface 116, in this embodiment, is concave. As best seen in FIG. 31, first end piece 111 has a minimum lateral dimension  $D_3$  transverse to a maximum vertical dimension  $D_4$  between the two opposite surfaces 114. In the illustrated device, maximum vertical dimension  $D_4$  is generally larger than minimum lateral dimension  $D_3$ . Vertical dimension  $D_4$  has a height approximating the desired separation of the adjacent vertebrae.

FIG. 33 depicts another embodiment, in which load bearing member 130 has a first end piece 131 with a truncation adapted for nesting and a second generally cylindrical end piece 132 having no cutout regions.

The above-described osteogenic fusion devices configured to nest may also bear modifications similar to those shown in FIGS. 3-13 and 16-21, and their accompanying descriptions in the text above. For example, osteogenic fusion devices having threaded end pieces, end pieces with apertures, and such devices having either center pieces, a plurality of central elements and a central element defining a wall may also be incorporated into osteogenic fusion devices such as those described in conjunction with FIGS. 30-33. In devices with center pieces, the center pieces may be substantially cylindrical with no cutout regions or may be shaped with a cutout region as described above. Moreover, the device can also include a bone growth-inducing material as described above which may be wound around the central elements of the devices, and if desired also shaped to allow for or facilitate the nesting arrangement.

It is to be noted that the shapes of the opposing end pieces of the load bearing members described above are preferably cylindrical and may include a concave

truncated surface. However, opposite end pieces and truncated surfaces having any suitable geometrical shape are contemplated as forming a part of the present invention.

The present invention also contemplates an implant system including at least two load bearing members as described above and wherein at least one load bearing member is configured to nest within the other load bearing member. FIGS. 34-36 depict one embodiment of the implant system including load bearing member 110 and load bearing member 10 (as shown in FIGS. 1 and 2) having a substantially cylindrical first end piece 11. First end piece 11 of load bearing member 10 is nested within first end piece 111 of load bearing member 110. In this particular embodiment as best seen in FIG. 36, width  $w_1$  of second end piece 112 of load bearing member 110 and width  $w_2$  of second end piece 12 of load bearing member 10, when added together, must be such that will not prevent first end piece 11 of load bearing member 10 from nesting within first end piece 111 of first load bearing member 110.

In yet a further embodiment, the load bearing members in a nesting implant system may have an identical shape. For example, FIG. 37 depicts a perspective view of two load bearing members 110 wherein first end piece 111 of one of the load bearing members is nested within an identical end piece 111 of the other load bearing member 110.

FIG. 38 shows implant system 150 of the invention which includes load bearing member 160 and load bearing member 170. Load bearing member 160 is similar to load bearing member 130 except that second end piece 162 of load bearing member 160 is substantially cylindrical with a cutout portion (i.e., it has the shape of first end piece 131 of load bearing member 130). Load bearing member 170 is similar to load bearing member 130 except that first end piece 171 of load bearing member 170 is substantially cylindrical, with no cutout regions. FIG. 38 further depicts first end piece 171 of load bearing member 170 nested within first end piece 161 of load bearing member 160 and second end piece 172 of load bearing member 170 is nested within second end piece 162 of load bearing member 160.

It is to be appreciated that the implant system may include first and second load bearing members with end pieces arranged in a variety of ways to achieve the nesting arrangement. For example, the first and second load bearing members may each include one truncated and one non-truncated end piece, such as that illustrated in FIG.

33. In such an embodiment, the two devices can be used in inverted relationship with respect to one another to achieve a nesting relationship. For example, in implant system 180 shown in FIG. 39, first end piece 191 of first load bearing member 190 and second end piece 202 of second load bearing member 200 are truncated. Non-truncated first end piece 201 of load bearing member 200 is nested within first end piece 191 of load bearing member 190 and non-truncated second end piece 192 of load bearing member 190 is nested within second end piece 202 of second load bearing member 200.

With reference now to FIGs. 40-42, shown is an implant system of the invention including mated fusion devices and wherein the devices are configured to connect to one another so as to resist lateral separation of the devices. In preferred systems, such connection may also provide increased resistance to expulsion due to the cooperation of the two devices. In particular, the system 210 includes a first fusion device 211 and a second fusion device 212. First fusion device 211 includes end pieces 213 having openings 214 serving as female members. Second fusion device 212 includes end pieces 215 having mating members 216 sized correspondingly to fit within openings 214 of device 212 and serve as male members. In this fashion, when devices 211 and 212 are assembled as depicted in FIG. 40, the two devices are connectedly mated so as to resist lateral separation from one another and/or expulsion, desirably acting more as a single unit when implanted in a patient. In this regard, devices 211 and 212 may be mated prior to implantation and implanted as a single unit; however, it is contemplated as preferred to implant a first of the devices, e.g. device 211, and then to implant the second device, e.g. 212, by pushing or sliding the second device in next to the first implanted device along the long axis, such that mating members 216 are received within openings 214 thus connecting the two devices to one another. As illustrated, devices 211 and 212 are also configured to nest to present a reduced lateral profile generally as described above for certain devices. Thus, device 212 includes concave shoulder portions 217, with mating member 216 located therebetween with its outward end 218 extending radially outward to a distance which allows the nesting relationship. In device 212, outward end 218 extends radially outward no further than the radius  $r$  of the predominant cylindrical shape of end piece 215. Devices 211 and 212 can optionally having outer surfaces configured to resist expulsion from the space between



adjacent vertebrae, for example threads, ratchets, grooves or other like features. In one mode, one of the fusion devices may include threads that facilitate controlled insertion, and that device may be implanted first. The other fusion device of the system can be of the push-in type, having no expulsion-resisting features or those features commonly  
5 used for push-in devices, for example ratchets or similar proturbances, or grooves. Still further, at least one of the fusion devices can include a stop member to controllably stop insertion of the second device by contact between the two devices. For example, illustrated in FIG. 43 is a device 220 similar to device 211, except including a stop member 221 positioned to be contacted by mating member 216 of  
10 device 212, for example in a procedure in which device 220 is implanted first with end piece 222 occurring distally, and device 212 is thereafter pushed in and mated with device 220.

With reference now to FIG. 44, illustrated in another implant system of the invention in which two adjacent fusion devices are connected to one another. In particular, system 230 includes a first fusion device 10 and a second fusion device 110  
15 as described above. In addition, system 230 includes a relatively thin connecting plate 231 spanning the end pieces of devices 10 and 110. Connectors 232, for example screws, pins or the like, extend through plate 231 and into the end pieces of devices 10 and 110. In this case, such end pieces can include corresponding means for receiving  
20 connectors 232, for example a threaded hole in the case where connectors 232 are screws. Implant system 230 having devices 10 and 110 connected in this fashion at one or both ends will thus also desirably act more as a single unit within the patient, desirably adding torsional resistance. It is contemplated that the devices 10 and 110 may be connected prior to or after implant. In one mode, for example, devices 10 and  
25 110 may be implanted separately in the nested relationship, and only a single plate 231 used to connect the proximal (more accessible) end pieces.

Use of two large devices side-by-side in accordance with the invention facilitates engagement of the devices into the vertebral body endplates to distract the disc space and facilitate fusion. The larger diameter devices provide other advantages over the  
30 use of two small diameter devices. For example, the deeper the devices are placed into the endplates, the more bleeding bone is exposed and the better the chance for new bone formation. Moreover, the smaller diameter devices do not get adequate

distraction or stabilization in the end plate bone allowing for motion which inhibits new bone formation. The larger diameter devices are advantageously used in situations requiring less lateral exposure to implant two devices side-by-side (i.e., bilaterally).

5       The design of the above-described devices that have cylindrical end pieces with cutout regions can be used in current fusion cages that act as containers, or baskets, for holding autograft chips and in allograft bone dowels. Such a design allows for threading-in of the devices much closer together as desired for a PLIF procedure. Moreover, the instruments that indicate the correct vertical orientation of the cage for  
10 bone thru-growth can also assist in orienting the cage cutout on the medial side for mating with a second cage.

      The present invention contemplates osteogenic fusion devices that are formed of a material that is sufficiently strong to support the adjacent vertebrae and to maintain the disc height of the instrumented intervertebral space. For example, the osteogenic  
15 fusion devices, such as osteogenic fusion device 10, can be formed of a biocompatible sterilizable metal, such as stainless steel or titanium. Of course, other medical grade materials are contemplated, such as certain ceramics, polymers, etc., as well as allograft and xenograft bone, provided the materials are sufficiently strong. The overall dimensions of each of the osteogenic fusion devices described above depends  
20 upon the instrumented level. For example, a osteogenic fusion device for use in the cervical spine must necessarily be smaller than a osteogenic fusion device used in the lumbar spine. Moreover, the relative dimensions of the components of the osteogenic fusion devices may be altered depending upon the vertebral level to be instrumented. For example, a osteogenic fusion device, such as osteogenic fusion device 10, for use  
25 in the lumbar spine, may require a central element 13 having a diameter  $D_2$  that is more than one fourth of the outer diameter  $D_1$  of the outer surface 15 of the first end piece 11. In some instances, the lumbar spine may generate bending moments across a osteogenic fusion device, such as osteogenic fusion device 10, that would require a stronger central element 13.

30       In accordance with the present invention, the illustrated osteogenic fusion devices can be of the push-in or threaded-in type. Of course, the end pieces, such as end pieces 11, 12 of osteogenic fusion device 10, and end pieces 111, 112 of osteogenic fusion

device 110, can include various surface characteristics known in the art for enhancing the degree of fixation of the osteogenic fusion device between the adjacent vertebrae. For example, the end pieces can include certain macro surface features for penetrating the vertebral endplates to resist expulsion of the osteogenic fusion devices. Likewise,  
5 the surfaces, such as outer surface 15 and 114 and bone contacting surface 20 and 115 can be provided with bone ingrowth coatings so that a certain amount of bone ingrowth occurs even between the end pieces and the adjacent vertebral bodies.

The present invention also provides a method of promoting fusion bone growth in the space between adjacent vertebrae. The method advantageously includes providing  
10 the load bearing members or implant systems described above, preparing adjacent vertebrae to receive the load bearing member or implant system and placing the load bearing member or implant system into the intervertebral space after the preparing step. The load bearing members and implant system may also include an osteogenic material within the pocket of the devices that is arranged to contact the adjacent  
15 vertebrae when the vertebrae are supported by the opposite end pieces of the device as described more fully above.

While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in character, it being understood that only the preferred embodiments have  
20 been shown and described and that all changes and modifications that come within the spirit of the invention are desired to be protected.

What is claimed is:

1. An implant for promoting fusion bone growth in the space between adjacent vertebrae, comprising:
  - 5 a load bearing member including opposite end pieces and an elongated central element extending between said end pieces,  
said opposite end pieces having two opposite surfaces configured to contact and support the adjacent vertebrae,  
at least one of said opposite end pieces including a truncated surface, said  
10 opposite end pieces sized to maintain the space between the adjacent vertebrae,  
said central element being sized relative to said opposite end pieces to define a pocket between said central element and the adjacent vertebrae when the adjacent vertebrae are supported by said opposite end pieces, said pocket configured to contain an osteogenic material disposed about said central element and in intimate contact with  
15 the adjacent vertebrae when the vertebrae are supported by said opposite end pieces.
2. The implant of claim 1, wherein said at least one of said opposite end pieces defines a first end piece having said truncated surface, said first end piece including a first dimension between said two opposite surfaces and a second dimension  
20 transverse to said first dimension, said first dimension being greater than said second dimension, said first dimension being sized to maintain the space between the adjacent vertebrae.
3. The implant of claim 1, wherein both said first end piece and said second  
25 end piece include a truncated surface.
4. The implant of claim 1, wherein said opposite end pieces include a first end piece and a second end piece, said two opposing surfaces of each of said opposite end pieces being arcuate.
- 30 5. The implant of claim 4, wherein said second end piece is substantially cylindrical.

6. The implant of claim 5, wherein said second end piece includes at least two truncated non-circular sides between said two opposite surfaces and said first end piece includes a truncated surface.

5 7. The implant of claim 1, wherein said truncated surface is concave.

8. The implant of claim 1, wherein said truncated surface is configured to receive a first end piece of another of said load bearing member.

10 9. The implant of claim 8, wherein said another of said load bearing member has a substantially cylindrical first end piece.

10. The implant of claim 1, wherein said opposite end pieces include:  
a first end piece having a first dimension between said two opposite surfaces, said  
15 first dimension being sized to maintain the space between the adjacent vertebrae; and  
a second end piece having a dimension between said two opposite surfaces substantially equal to said first dimension and a second dimension transverse to said dimension that is less than said first dimension.

20 11. The implant of claim 1, wherein:  
each of said opposite end pieces defines a first dimension between said two opposite surfaces; and  
said elongated central element has a longitudinal axis, said elongated central element defining a central dimension transverse to said longitudinal axis that is less  
25 than said first dimension.

12. The implant of claim 11, wherein said central dimension is no more than about 25% (twenty-five percent) of said first dimension.

30 13. The implant of claim 1, further comprising an osteogenic material contained within said pocket and arranged to contact the adjacent vertebrae when the vertebrae are supported by said opposite end pieces.

14. The implant of claim 13, wherein said osteogenic material includes an osteogenic substance disposed within a carrier.

5 15. The implant of claim 14, wherein said carrier is a collagen sheet wound around said central element within said pocket.

16. The implant of claim 14, wherein said osteogenic substance is a bone morphogenetic protein.

10

17. The implant of claim 1, wherein said two opposite surfaces of at least one of said opposite end pieces includes threads.

18. The implant of claim 1, wherein each of said opposite end pieces defines a  
15 retaining surface connected to said central element, at least one retaining surface being concave.

19. The implant of claim 1, wherein at least one of said opposite end pieces includes a plurality of apertures defined therethrough in communication with said  
20 pocket.

20. The implant of claim 1, wherein said two opposite surfaces of each of said opposite end pieces is tapered to conform to an anatomic angle between the adjacent vertebrae.

25

21. The implant of claim 1, wherein said load bearing member includes a center piece having two opposite surfaces configured to contact the adjacent vertebrae, said center piece being connected to said central element between said opposite end pieces and bisecting said pocket, said center piece sized to maintain the space between  
30 adjacent vertebrae.

22. The implant of claim 21, wherein said center piece is substantially cylindrical.

23. The implant of claim 21, wherein said center piece includes a truncated  
5 surface, said center piece sized to maintain the space between adjacent vertebrae.

24. The implant of claim 1, wherein said central element is attached to said  
opposite end pieces substantially equidistant from each of said two opposite surfaces  
of each end piece.

10

25. The implant of claim 1, wherein said central element includes at least two  
rods connected to said opposite end pieces.

26. The implant of claim 1, wherein said central element includes a wall  
15 connected to said opposite end pieces, said wall bifurcating said pocket.

27. The implant of claim 26, wherein said wall includes at least one opening  
defined therethrough and communicating with said pocket.

28. The implant of claim 27, wherein said at least one opening is an elongated  
20 slot.

29. The implant of claim 1, wherein said elongated central element has a length  
between said opposite end pieces, said length being sized to maintain contact between  
25 said opposite end pieces and the cortical bone of the adjacent vertebrae when said load  
bearing member is in contact with the adjacent vertebrae.

30. An implant for promoting fusion bone growth in the space between  
adjacent vertebrae, comprising:

30 a load bearing member including opposite end pieces and an elongated central  
element extending between said end pieces,

said opposite end pieces having two opposite surfaces configured to contact and support the adjacent vertebrae,

at least one of said opposite end pieces including a truncated surface having opposite faces defining an entrance to a cutout region, said cutout region defined by  
5 said truncated surface.

said opposite end pieces sized to maintain the space between the adjacent vertebrae,

said central element being sized relative to said opposite end pieces to define a pocket between said central element and the adjacent vertebrae when the adjacent  
10 vertebrae are supported by said opposite end pieces, said pocket configured to contain an osteogenic material disposed about said central element and in intimate contact with the adjacent vertebrae when the vertebrae are supported by said opposite end pieces.

31. An implant for promoting fusion bone growth in the space between  
15 adjacent vertebrae, comprising:

an elongated central body sized for introduction into the space between adjacent vertebrae, said body having opposite end pieces, at least one of said opposite end pieces including a truncated surface; and

a bone growth inductive material disposed around said central body and in  
20 intimate contact with the adjacent vertebrae when said central body is within the space between adjacent vertebrae.

32. An implant system for promoting fusion bone growth in the space between adjacent vertebrae including at least first and second load bearing members adapted to  
25 be bilaterally placed between adjacent vertebrae, said load bearing members comprising:

opposite end pieces and an elongated central element extending between said end pieces, said opposite end pieces having two opposite surfaces configured to contact and support the adjacent vertebrae,

30 said central element being sized relative to said opposite end pieces to define a pocket between said central element and the adjacent vertebrae when the adjacent vertebrae are supported by said opposite end pieces, said pocket configured to



contain an osteogenic material disposed about said central element and in intimate contact with the adjacent vertebrae when the vertebrae are supported by said opposite end pieces,

at least said first load bearing member including at least one opposite end  
5 piece having a truncated surface configured to nest within said second load bearing member.

33. The implant system of claim 32, wherein said opposite end pieces include a first end piece and a second end piece, said first end piece including a truncated surface  
10 and having a first dimension between said two opposite surfaces and a second dimension transverse to said first dimension, said first dimension being greater than said second dimension, said first dimension being sized to maintain the space between adjacent vertebrae.

34. The implant system of claim 33, wherein said second end piece of said load  
15 bearing members includes truncated non-circular surfaces between said two opposite surfaces.

35. The implant system of claim 34, wherein said truncated non-circular  
20 surfaces are substantially flat.

36. The implant system of claim 35, wherein said first end piece of said load bearing members has an arcuate surface.

37. The implant system of claim 36, wherein each of said two opposing  
25 surfaces of said second end piece has an arcuate surface.

38. The implant system of claim 37, wherein said first end piece of said second  
load bearing member is substantially cylindrical and is nested within said first end  
30 piece of said first load bearing member.

39. The implant system of claim 38, wherein said first end piece of said second load bearing member includes a truncated surface.

40. The implant system of claim 32, wherein said truncated surface is concave.

5

41. The implant system of claim 32, further comprising an osteogenic material contained within each of said pocket of each of said load bearing members and arranged to contact the adjacent vertebrae when the vertebrae are supported by said opposite end pieces.

10

42. The implant system of claim 41, wherein said osteogenic material includes an osteogenic substance disposed within a carrier.

43. The implant system of claim 42, wherein said carrier is a collagen sheet wound around said central elements within each of said pocket of said load bearing members.

15

44. The implant system of claim 42, wherein said osteogenic substance is a bone morphogenetic protein.

20

45. The implant system of claim 32, wherein said two opposite surfaces of at least one of said end pieces includes threads.

46. The implant system of claim 32, wherein at least one of said central element of one of said load bearing members includes at least two rods connected to said opposite end pieces.

25

47. The implant system of claim 32, wherein at least one of said central element of one of said load bearing members includes a wall connected to said opposite end pieces, said wall bifurcating said pocket.

30

48. The implant system of claim 47, wherein said wall includes at least one opening defined therethrough and communicating with said pocket.

49. The implant system of claim 48, wherein said at least one opening is an  
5 elongated slot.

50. The implant system of claim 32, wherein said second load bearing member includes a first end piece that is substantially cylindrical.

10 51. The implant system of claim 32, wherein each of said load bearing members include a first end piece and a second end piece, said second end piece of said first load bearing member and said second end piece of said second load bearing member having a substantially cylindrical shape.

15 52. The implant system of claim 51, wherein said first end piece and said second end piece of said first load bearing member includes a truncated surface.

53. The implant system of claim 52, wherein said first end piece of said second load bearing member is substantially cylindrical and is nested within said first end  
20 piece of said first load bearing member and said second end piece of said second load bearing member is nested within said second end piece of said first load bearing member.

54. The implant system of claim 53, wherein said second end piece of said  
25 second load bearing member includes a truncated surface.

55. The implant system of claim 54, wherein said first end piece of said second load bearing member includes a truncated surface.

30 56. The implant system of claim 32, wherein said first end piece of said second load bearing member and said second end piece of said first load bearing member is substantially cylindrical, said second end piece of said second load bearing member

and said first end piece of said first load bearing member including a truncated surface between said opposite surfaces.

57. The implant system of claim 56, wherein said first end piece of said second  
5 load bearing member is nested within said first end piece of said first load bearing member and said second end piece of said first load bearing member is nested within said second end piece of said second load bearing member.

58. The implant system of claim 32, wherein at least one of said load bearing  
10 members include a center piece having two opposite surfaces configured to contact the adjacent vertebrae, said center piece being connected to said central element between said opposite end pieces and bisecting said pocket, said center piece sized to maintain the space between adjacent vertebrae.

59. The implant system of claim 58, wherein said center piece is substantially  
15 cylindrical.

60. The implant system of claim 58, wherein said center piece includes a  
truncated surface between said opposite surface, said center piece sized to maintain the  
20 space between adjacent vertebrae.

61. The implant system of claim 32, wherein said truncated surface is concave.

62. An implant system for promoting fusion bone growth in the space between  
25 adjacent vertebrae, said implant system comprising:

at least two implants adapted to be bilaterally placed between adjacent vertebrae, said implants sized for introduction into said space between adjacent vertebrae, said implants configured to be nested together.

63. A method of promoting fusion bone growth in the space between adjacent  
30 vertebrae, said method comprising:

(a) providing a load bearing member including opposite end pieces and an elongated central element extending between said end pieces,

said opposite end pieces having two opposite surfaces configured to contact and support the adjacent vertebrae,

5 at least one of said opposite end pieces including a truncated surface between said opposite surfaces, said opposite end pieces sized to maintain the space between the adjacent vertebrae,

said central element being sized relative to said opposite end pieces to define a pocket between said central element and the adjacent vertebrae when the adjacent vertebrae are supported by said opposite end pieces, said pocket configured to contain an osteogenic material disposed about said central element and in intimate contact with the adjacent vertebrae when the vertebrae are supported by said opposite end pieces;

(b) preparing said adjacent vertebrae to receive the load bearing member in an intervertebral space between adjacent vertebrae; and

(c) placing the load bearing member into the intervertebral space after said preparing step.

64. The method of claim 63, said load bearing member further including an osteogenic material contained within said pocket and arranged to contact the adjacent vertebrae when the vertebrae are supported by said opposite end pieces.

65. The method of claim 63, wherein said at least one of said opposite end pieces defines a first end piece having a first dimension between said two opposite surfaces and a second dimension transverse to said first dimension, said first dimension being greater than said second dimension, said first dimension being sized to maintain the space between the adjacent vertebrae.

66. The method of claim 63, wherein said load bearing member includes a center piece having two opposite surfaces configured to contact the adjacent vertebrae, said center piece being connected to said central element between said opposite end

pieces and bisecting said pocket, said center piece sized to maintain the space between adjacent vertebrae.

67. The method of claim 66, wherein said center piece includes at least one  
5 truncated surface.

68. A method of promoting fusion bone growth in the space between adjacent vertebrae, said method comprising:

implanting into said space between adjacent vertebrae at least two implants  
10 sized for introduction into said intervertebral space, said implants configured to be nested together.

69. The method of claim 68, wherein said implants are nested together.

70. A method of promoting fusion bone growth in the space between adjacent  
15 vertebrae, said method comprising:

(a) providing an implant including an elongated central body sized for introduction into the space between adjacent vertebrae, said body having opposite end pieces, at least one of said opposite end pieces including a truncated surface having  
20 opposite faces defining an entrance to a cutout region, said cutout region defined by said truncated surface;

a bone growth inductive material disposed around said central body and in intimate contact with the adjacent vertebrae when said central body is within the space between adjacent vertebrae;

25 (b) preparing said adjacent vertebrae to receive said implant in an intervertebral space between adjacent vertebrae; and

(c) placing said implant into the intervertebral space after said preparing step.

30 71. An implant system for promoting fusion bone growth in the space between adjacent vertebrae comprising at least first and second load bearing members adapted to be bilaterally placed between adjacent vertebrae, a first of said load bearing

members including a male member, and a second of said load bearing members including a female member, said male and female members cooperating to resist lateral separation of said devices.

5        72. The system of claim 71, wherein said load bearing members are generally cylindrical in shape.

73. The system of claim 71, wherein at least one of said load bearing members includes an outer surface configured to resist expulsion of said load bearing member  
10        from the space.

74. The system of claim 71, wherein said load bearing members each include:  
opposite end pieces and an elongated central element extending between  
said end pieces, said opposite end pieces having two opposite surfaces configured to  
15        contact and support the adjacent vertebrae,

said central element being sized relative to said opposite end pieces to  
define a pocket between said central element and the adjacent vertebrae when the  
adjacent vertebrae are supported by said opposite end pieces, said pocket configured to  
contain an osteogenic material disposed about said central element and in intimate  
20        contact with the adjacent vertebrae when the vertebrae are supported by said opposite  
end pieces,

said first load bearing member including at least one opposite end piece  
having said male member; and

said second load bearing member including a least one opposite end piece  
25        having said female member.

75. The system of claim 71, wherein said end pieces are generally cylindrical in  
shape.

30        76. The system of claim 71, wherein each end piece of said first load bearing  
member includes a male member, and each end piece of said second load bearing  
member includes a female member.

77. The system of claim 71, wherein said first and second load bearing members each include an end piece having a male member and an end piece having a female member.

5

78. The system of any of claims 71-77, wherein said first and second load bearing members are configured to nest with one another.

79. The system of any of claims 71-78, wherein said male and female members  
10 are engageable by moving said first and second devices axially relative to one another.

80. A method of promoting fusion bone growth in the space between adjacent vertebrae, said method comprising:

(a) providing an implant system including first and second load bearing  
15 members adapted to be bilaterally placed between adjacent vertebrae, said load bearing members including:

opposite end pieces and an elongated central element extending between said end pieces, said opposite end pieces having two opposite surfaces configured to contact and support the adjacent vertebrae,

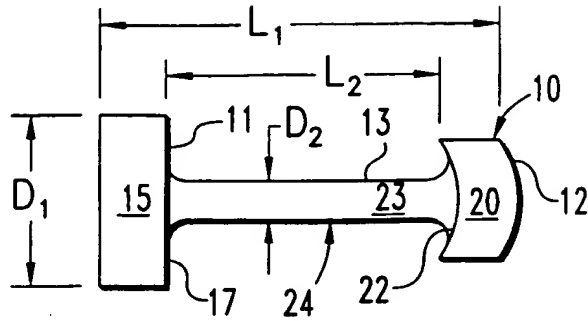
20 said central element being sized relative to said opposite end pieces to define a pocket between said central element and the adjacent vertebrae when the adjacent vertebrae are supported by said opposite end pieces, said pocket configured to contain an osteogenic material disposed about said central element and in intimate contact with the adjacent vertebrae when the vertebrae are supported by said opposite  
25 end pieces,

at least said first load bearing member including at least one opposite end piece having a truncated surface configured to nest within said second load bearing member;

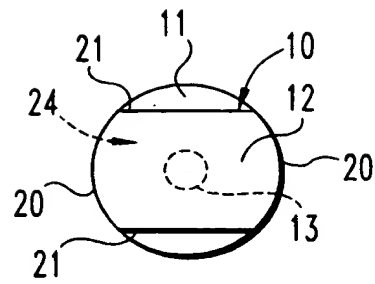
a bone growth inductive material disposed around said central body and in  
30 intimate contact with the adjacent vertebrae when said central body is within the space between adjacent vertebrae;



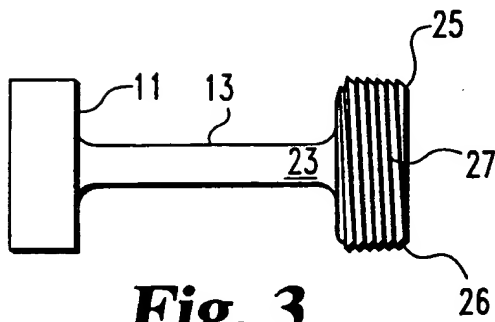
- (b) preparing said adjacent vertebrae to receive said implant in an intervertebral space between adjacent vertebrae; and
- (c) placing said implant into the intervertebral space after said preparing step.



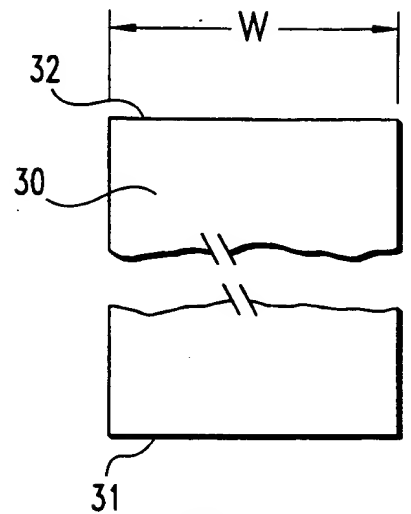
**Fig. 1**



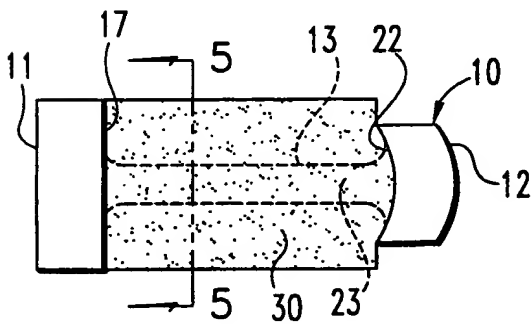
**Fig. 2**



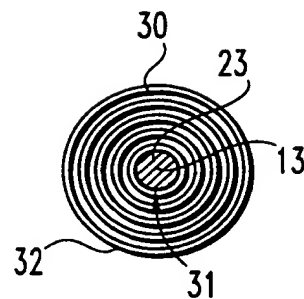
**Fig. 3**



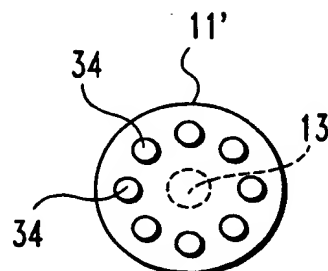
**Fig. 4**



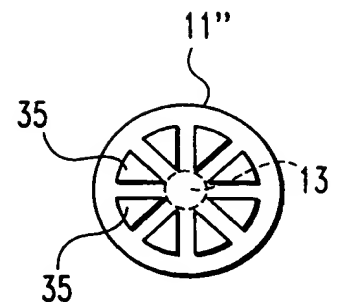
**Fig. 5**



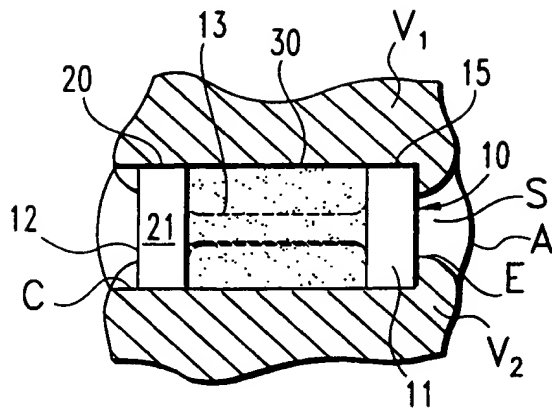
**Fig. 6**



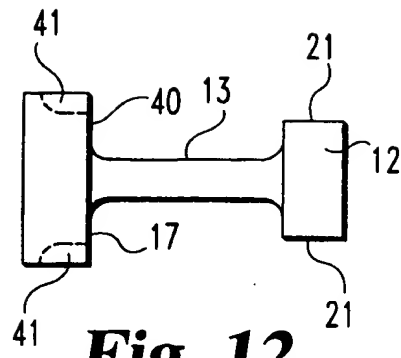
**Fig. 7**



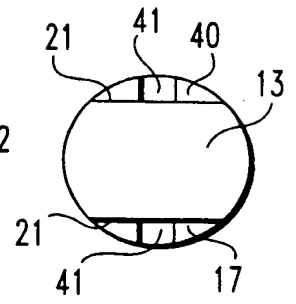
**Fig. 8**



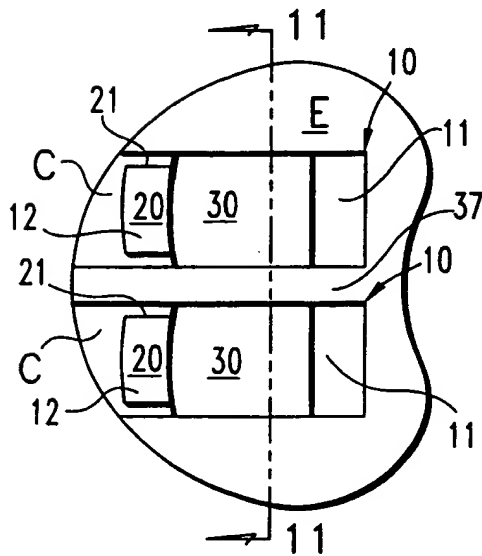
**Fig. 9**



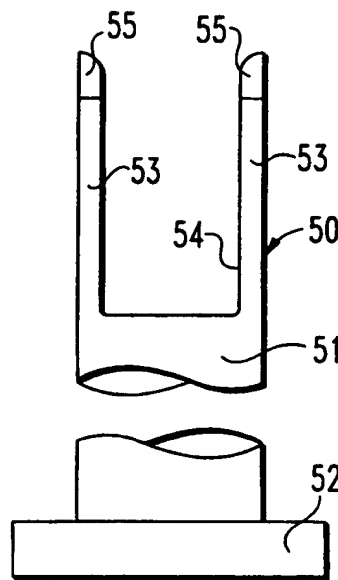
**Fig. 12**



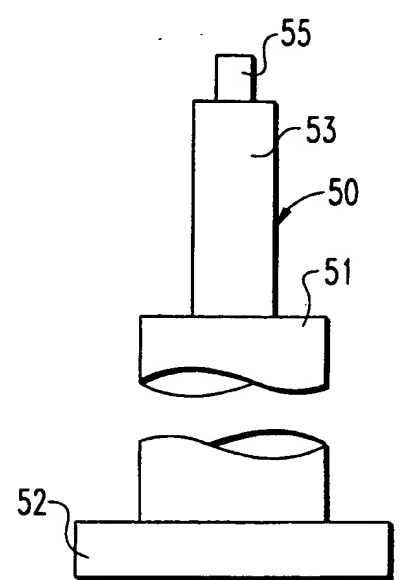
**Fig. 13**



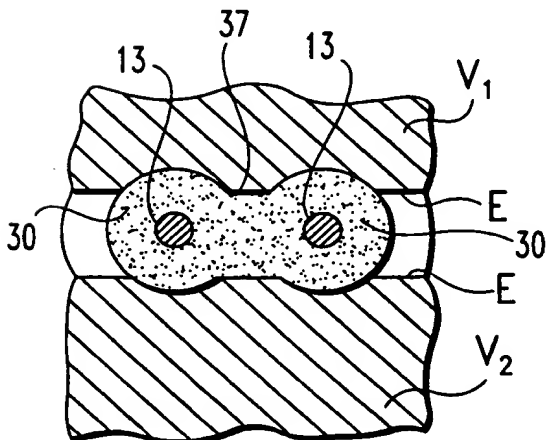
**Fig. 10**



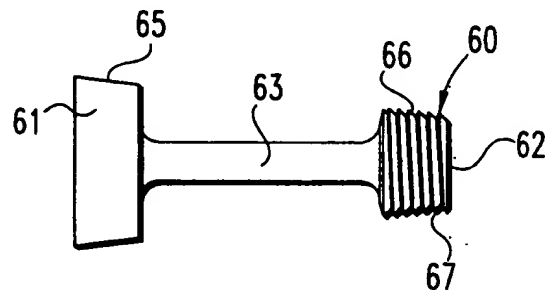
**Fig. 14**



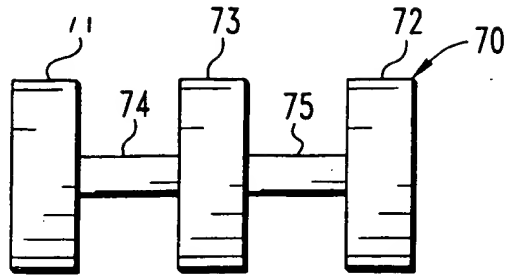
**Fig. 15**



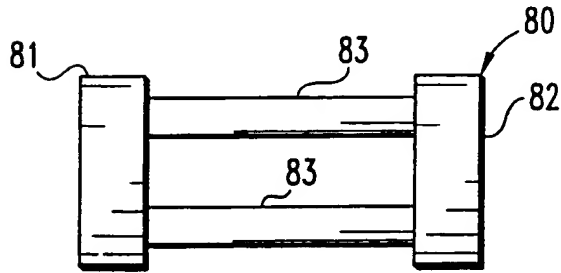
**Fig. 11**



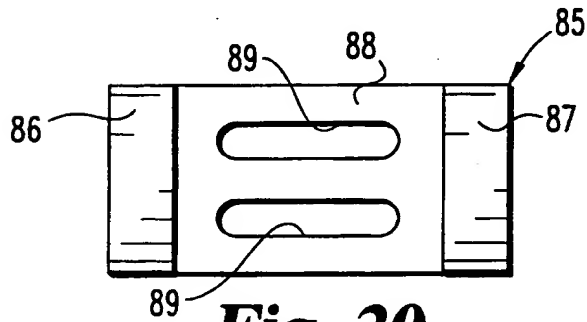
**Fig. 16**



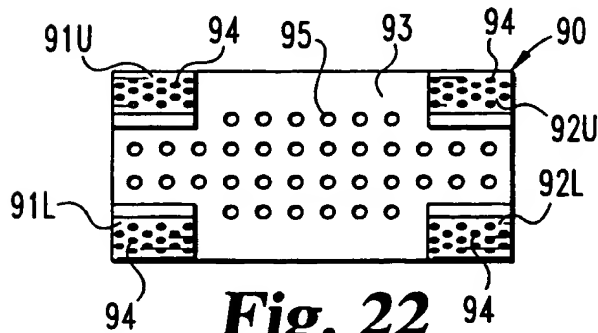
**Fig. 17**



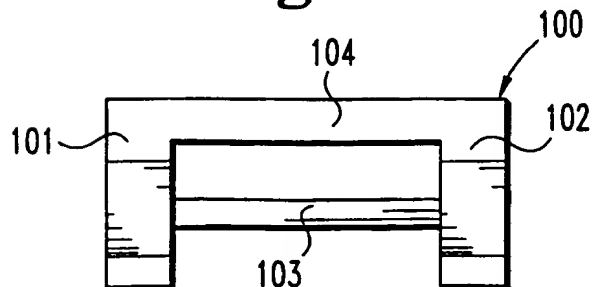
**Fig. 18**



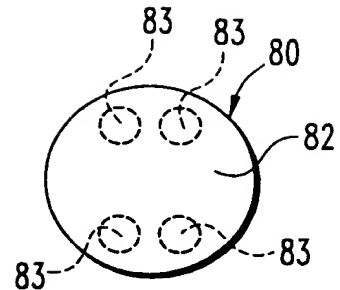
**Fig. 20**



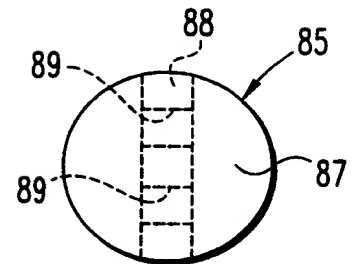
**Fig. 22**



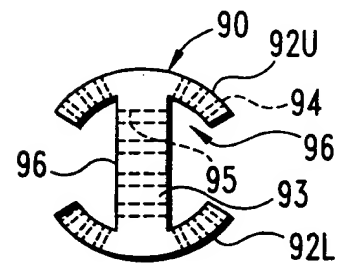
**Fig 24**



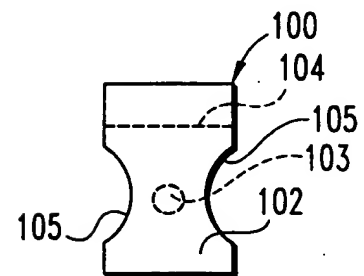
**Fig. 19**



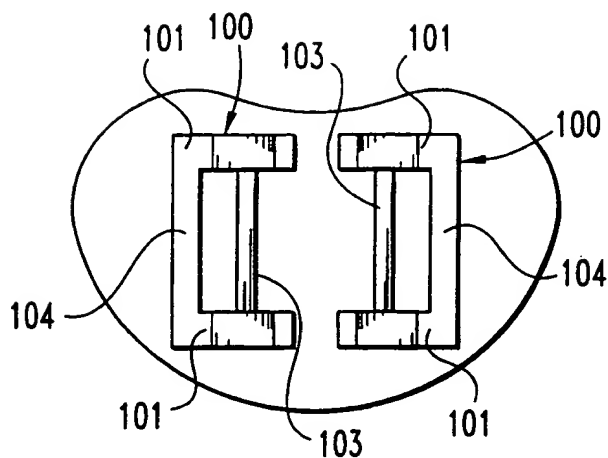
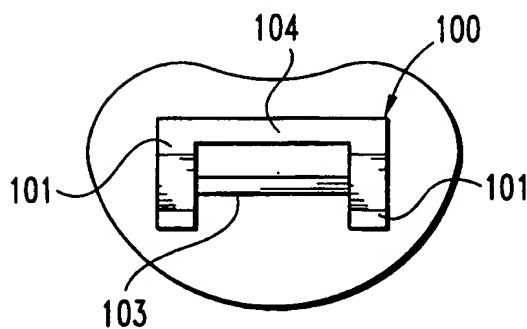
**Fig. 21**

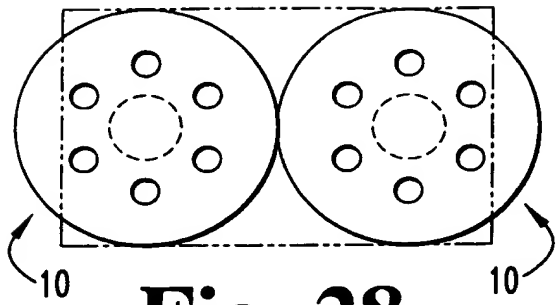
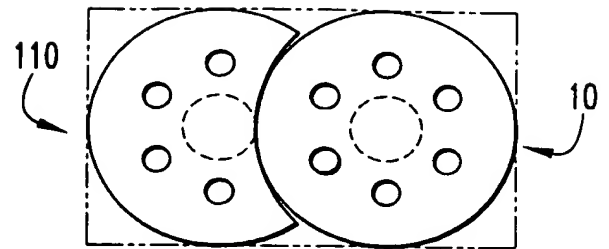
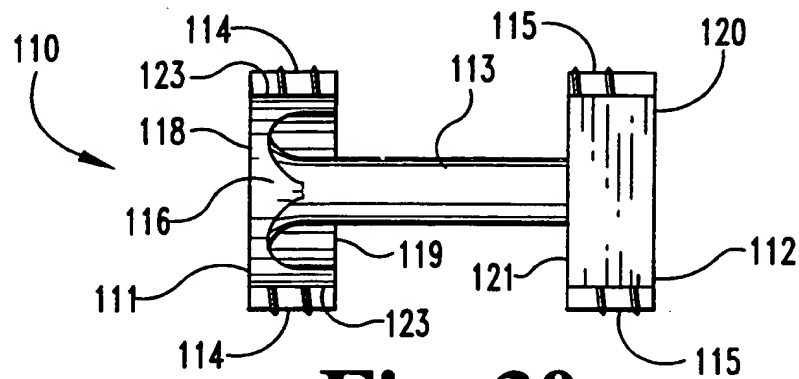
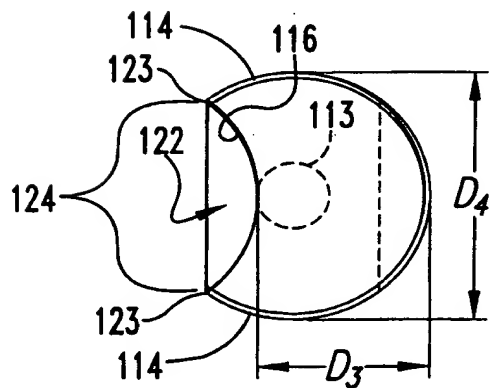
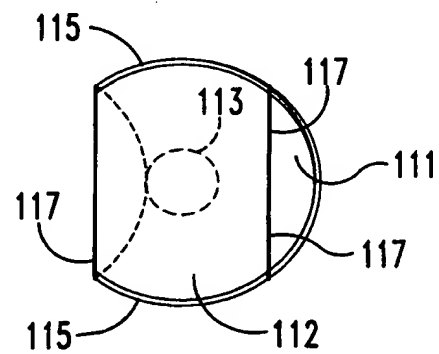
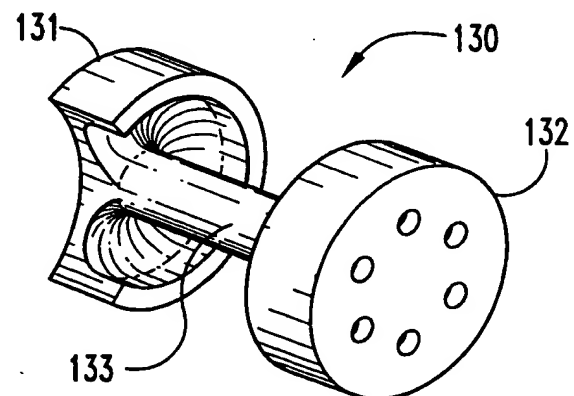


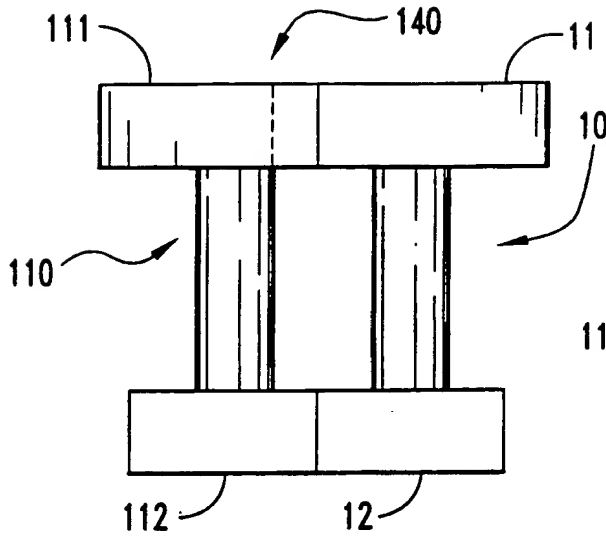
**Fig. 23**



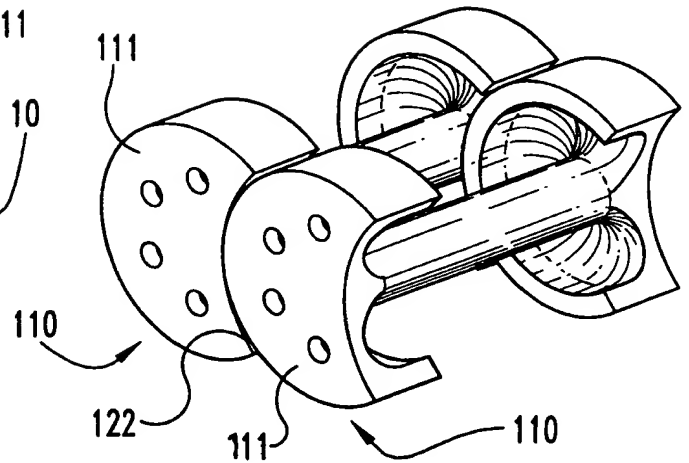
**Fig. 25**

**Fig. 26****Fig. 27**

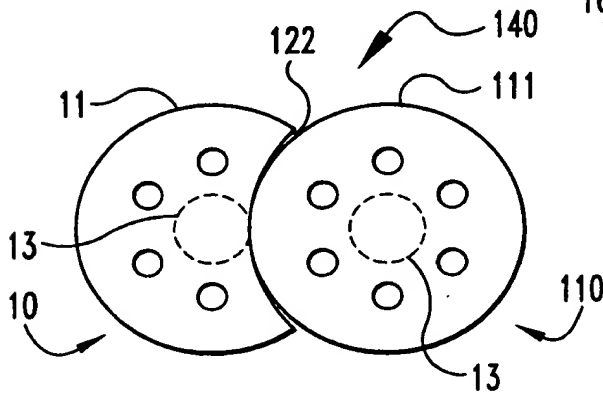
**Fig. 28****Fig. 29****Fig. 30****Fig. 31****Fig. 32****Fig 33**



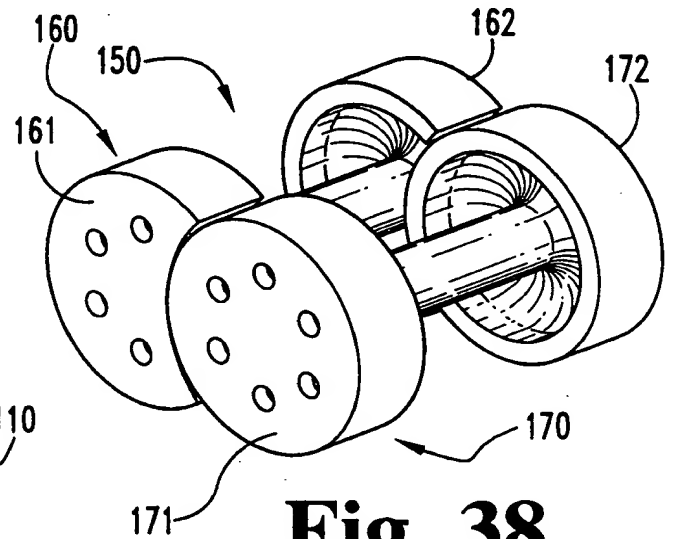
**Fig. 34**



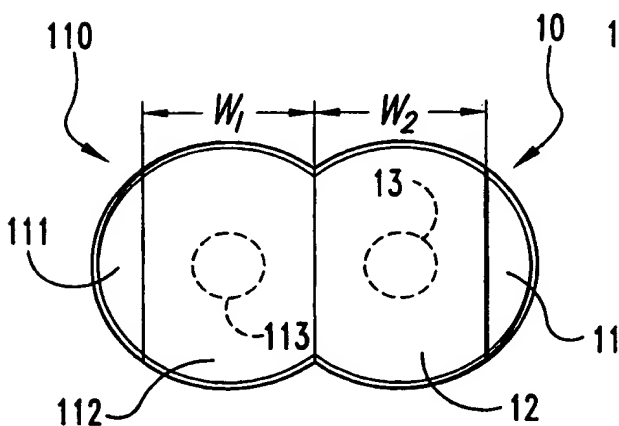
**Fig. 37**



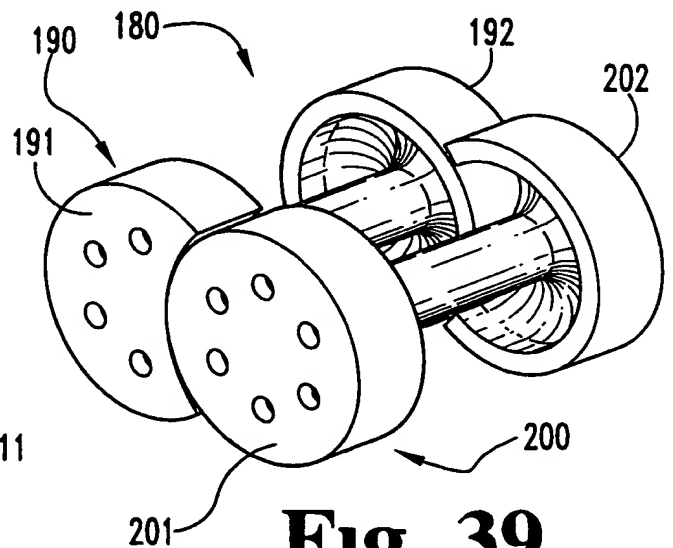
**Fig. 35**



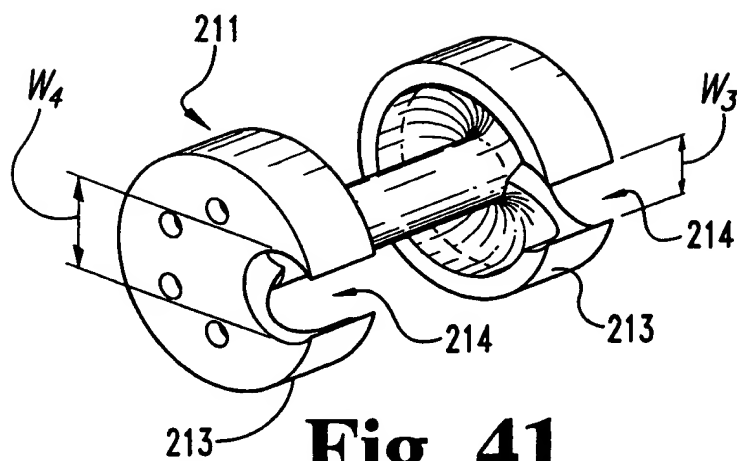
**Fig. 38**



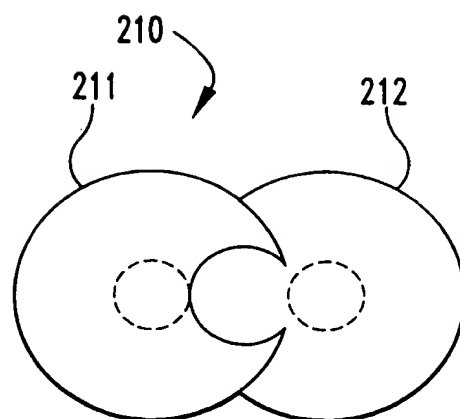
**Fig 36**



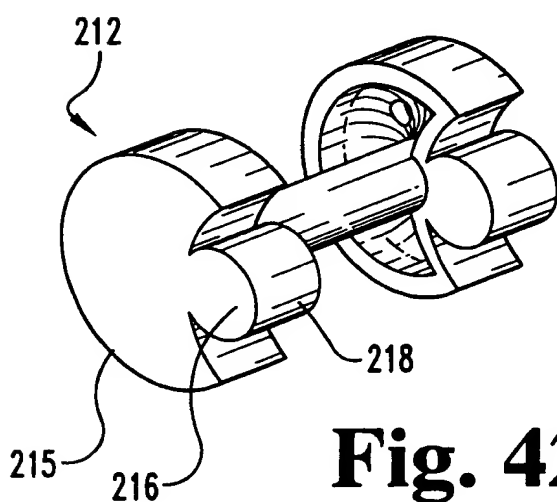
**Fig 39**



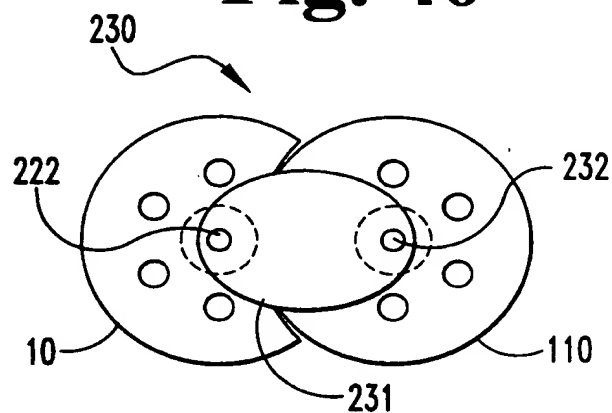
**Fig. 41**



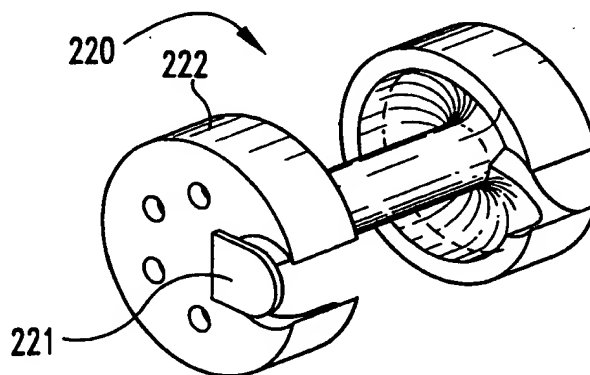
**Fig. 40**



**Fig. 42**



**Fig. 44**



**Fig 43**



# INTERNATIONAL SEARCH REPORT

Int'l. Application No  
PCT/US 98/26254

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61F2/44 A61F2/46

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 732 093 A (SOFAMOR DANKE GROUP) 18 September 1996	62
A	see column 18, line 37 - column 19, line 6; figures 2,36,43 ----	3,17,32, 78
Y	WO 92 14423 A (MADHAVAN) 3 September 1992	1,21,22, 30,31
A	see page 5, line 24 - line 35; figures 1-3,8 ----	5,9,15, 32,43
Y	DE 44 09 836 A (DRAENERT) 28 September 1995	1,21,22, 30,31
A	see column 5, line 25 - line 45; figures 6-9 ----	32
A	US 5 397 364 A (KOZAK) 14 March 1995 see column 11, line 1 - line 35; figure 26 ----- -/-	1,21,22, 30-32

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

15 April 1999

Date of mailing of the international search report

22/04/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
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Fax: (+31-70) 340-3016

Authorized officer

Klein, C

# INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 98/26254

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3 867 728 A (STUBSTAD) 25 February 1975  see column 10, line 54 - line 55 see column 12, line 37 - line 39 see column 13, line 37 - line 44; figures 14,20-24  ---	15,32, 43,62,78
A	FR 2 712 486 A (BRESLAVE) 24 May 1995 see the whole document  ---	15,43
A	WO 96 40014 A (DANEK MEDICAL) 19 December 1996 see page 10, line 3 - line 5  ---	16,44
A	US 4 961 740 A (RAY) 9 October 1990 cited in the application see column 6, line 55; figure 1  ---	19
A	US 5 443 515 A (COHEN) 22 August 1995 see figures 2,3,4C,6C,  ---	71
P,A	WO 98 04217 A (ULRICH) 5 February 1998 see the whole document  ---	1
A	WO 91 06266 A (SURGICAL DYNAMICS) 16 May 1991  ---	
A	WO 97 23174 A (COLORADO) 3 July 1997  ---	
A	WO 95 25485 A (SCHNORRENBURG CHIRURGIEMECHANIK) 28 September 1995  ---	
A	WO 95 17861 A (WALSTON) 6 July 1995  -----	

# INTERNATIONAL SEARCH REPORT

...ernational application No.

PCT/US 98/ 26254

## B x I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 63-70,80  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/26254

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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